

Serial No.: 09/839,515

Applicant: Fred C. Thomas III

Docket No.: 067470.0137 (P0766)



Filed: April 20, 2001

Group: Unknown

Page: 1 of 1

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**INFORMATION DISCLOSURE CITATION -
RELATED U.S. APPLICATIONS**
(Do NOT Print on Patent)

Examiner's Initials	U.S. Patent Applications
DU	Thomas A. Wilke, U.S. Serial No. 09/590,508 filed June 9, 2000 (Attorney Docket Nos. 067470.0104, P0622).
DU	Thomas A. Wilke, U.S. Serial No. 09/591,081 filed June 9, 2000 (Attorney Docket Nos. 067470.0105, P0623).
DU	Thomas A. Wilke, Allen T. Bracken, Serial No. 09/591,354 filed June 9, 2000 (Attorney Docket Nos. 067470.0106, P0624).
DU	Thomas A. Wilke, Allen T. Bracken, Brent J. Watson, Fred C. Thomas III, U.S. Serial No. 09/591,074 filed June 9, 2000 (Attorney Docket Nos. 067470.0107, P0625).
DU	Thomas A. Wilke, Marvin R. DeForest, Dennis D. Ogden, Serial No. 09/591,540 filed June 9, 2000 (Attorney Docket Nos. 067470.0108, P0626).
DU	Allen T. Bracken, Mark L. Reimann, Theodore J. Smith, U.S. Serial No. 09/591,538 filed June 9, 2000 (Attorney Docket Nos. 067470.0113, P0627).
DU	Jeffery D. Penman, Todd R. Shelton, U.S. Serial No. 09/590,509 filed June 9, 2000 (Attorney Docket Nos. 067470.0114, P0628).
DU	Randall C. Bauck, U.S. Serial No. 09/590,498, filed June 9, 2000 (Attorney Docket Nos. 067470.0115, P0629).
DU	Randall C. Bauck, Allen T. Bracken, Thomas A. Wilke, David S. Greenhalgh, U.S. Serial No. 09/590,511 filed June 9, 2000 (Attorney Docket Nos. 067470.0116, P0630).
DU	Daniel D. Rochat, Mark L. Reimann, Allen T. Bracken, U.S. Serial No. 09/746,304 filed December 21, 2000 (Attorney Docket Nos. 067470.0121, P0659).
DU	Allen T. Bracken, Theodore J. Smith, Jeffery D. Penman, Todd R. Shelton, David S. Greenhalgh, Paul E. Jacobs, Spencer W. Stout, Paul C. Kunz, Scott P. Thomas, Douglas S. Reynolds, David L. Jolley, Ryan D. Osterhout, U.S. Serial No. 09/755,961 filed January 4, 2001 (Attorney Docket Nos. 067470.0125, P0751).
DU	Paul C. Kunz, U.S. Serial No. 09/854,354, filed May 11, 2001 (Attorney Docket Nos. 067470.0128, P0718).
DU	Paul C. Kunz, Ryan D. Osterhout, Theodore J. Smith, Spencer W. Stout, Scott P. Thomas, U.S. Serial No. 09/854,391 filed May 11, 2001 (Attorney Docket Nos. 067470.0129, P0719).
DU	Ryan D. Osterhout, Scott P. Thomas, Paul C. Kunz, Allen T. Bracken, U.S. Serial No. 09/858,073, filed May 14, 2001 (Attorney Docket Nos. 067470.0139, P0777).
DU	Todd R. Shelton, Theodore J. Smith, Marvin R. DeForest, Kelly D. Wright, Mark L. Reimann, Hiromichi (nmi) Oribe, Jeffery D. Penman, U.S. Serial No. 09/855,993, filed May 14, 2001 (Attorney Docket Nos. 067470.0144, P0784).
DU	William P. Baker, Todd R. Shelton, Theodore J. Smith, U.S. Serial No. 09/866,568 filed May 25, 2001 (Attorney Docket Nos. 067470.0146, P0791).
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the Applicant. This form is used instead of Form PTO-1449, pursuant to MPEP §609(D). Rev. 06-2001	

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Rev. 06-2001

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PTO-1449	Application No. 09/839,515	Applicant(s) Fred C. Thomas III	
	Docket Number 067470.0137 (P0766)	Group Art Unit Unknown	Filing Date 04/20/2001
Information Disclosure in an Application			

U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
9U	A	2,278,280	3/31/42	Pfeiffer, et al.			
9U	B	2,896,833	7/28/59	Markham			
9U	C	3,144,236	8/11/64	Clanin			
9U	D	3,692,264	9/19/72	Burkhard, et al.			
9U	E	3,695,421	10/3/72	Wood			
9U	F	4,061,228	12/6/77	Johnson			
9U	G	4,062,049	12/6/77	Dirks			
9U	H	4,359,762	11/16/82	Stollorz			
9U	I	4,414,576	11/8/83	Randmae			
9U	J	4,507,689	3/26/85	Kozuki			
9U	K	4,550,343	10/29/85	Nakatani			
9U	L	4,562,500	12/31/85	Bygdnes			
9U	M	4,622,607	11/11/86	Smith, II			
9U	N	4,639,863	1/27/87	Harrison			


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FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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9U	O	0 204 299	12/10/86	EPC				
9U	P	0 335 490	10/4/89	EPC				
9U	Q	0 426 414	5/8/91	EPC				

NON-PATENT DOCUMENTS

DOCUMENT (Including Author, Title, Source, and Pertinent Pages)		
9U	R	"IBM Travelstar E - External Hard Disk Drive - Installation and Operation Guide", IBM Storage Systems Division, San Jose, California, 2000, 10 sheets.
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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PTO-1449	Application No. 09/839,515	Applicant(s) Fred C. Thomas III.	
	Docket Number 067470.0137 (P0766)	Group Art Unit Unknown	Filing Date 04/20/2001
Information Disclosure Citation in an Application			

U.S. PATENT DOCUMENTS


		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
DU	U	4,688,206	8/18/87	Nakagawa, et al.			
DU	V	4,709,817	12/1/87	Keady			
DU	W	4,712,146	12/8/87	Moon			
DU	X	4,724,500	2/09/88	Dalziel			
DU	Y	4,754,397	6/28/88	Varaiya, et al.			
DU	Z	4,763,225	8/9/88	Frenkel, et al.			
DU	AA	4,769,764	9/6/88	Levanon			
DU	BB	4,791,511	12/13/88	Davis			
DU	CC	4,806,106	2/21/89	Mebane			
DU	DD	4,833,554	5/23/89	Dalziel, et al.			
DU	EE	4,853,807	8/1/89	Trager			
DU	FF	4,856,656	8/15/89	Sugimoto, et al			
DU	GG	4,869,369	9/26/89	Turngren			
DU	HH	4,884,261	11/28/89	Dalziel			
DU	II	4,893,210	1/9/90	Mintzlaff			

FOREIGN PATENT DOCUMENTS

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DU	JJ	62-259284	11/11/87	Japan			Abstract	
DU	KK	1-189091	7/28/89	Japan			Abstract	
DU	LL	2 228 819	9/5/90	UK				

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U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
DU	PP	4,893,263	3/13/90	Myers			
DU	QQ	4,908,715	3/13/90	Krum			
DU	RR	4,926,291	5/15/90	Sarraf			
DU	SS	4,965,691	10/23/90	Ifikar, et al.			
DU	TT	4,974,103	11/27/90	Ifikar, et al.			
DU	UU	5,024,328	6/18/91	Bontrager			
DU	VV	5,025,335	6/18/91	Stefansky			
DU	WW	5,041,924	08/20/91	Blackborow, et al.			
DU	XX	5,065,262	11/12/91	Blackborow			
DU	YY	5,084,791	1/28/92	Thanos.			
DU	ZZ	5,160,473	11/3/92	Bontrager			
DU	AAA	5,170,300	12/08/92	Stefansky			
DU	BBB	5,175,657	12/29/92	Ifikar, et al.			
DU	CCC	5,204,794	4/20/93	Yoshida			
DU	DDD	5,207,327	5/4/93	Brondos			


FOREIGN PATENT DOCUMENTS

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DU	EEE	2 243 940	11/13/91	UK				
DU	FFF	2 691 435	11/26/93	France			Abstract	
DU	GGG	0 210 497	2/4/87	EPC				

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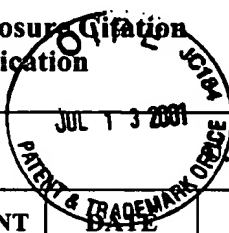
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U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
DU	KKK	5,214,550	5/25/93	Chan			
DU	LLL	5,235,481	8/10/93	Kamo, et al.			
DU	MMM	5,241,436	8/31/93	Kawabata			
DU	NNN	5,253,129	10/12/93	Blackborow, et al.			
DU	OOO	5,258,888	11/02/93	Korinsky			
DU	PPP	5,303,101	4/12/94	Hatch, et al.			
DU	QQQ	5,317,464	5/31/94	Witt, et al.			
DU	RRR	5,359,504	10/25/94	Ohmi, et al.			
DU	SSS	5,372,515	12/13/94	Miller, et al.			
DU	TTT	5,392,197	2/21/95	Cuntz, et al.			
DU	UUU	5,408,383	4/18/95	Nagasaka, et al.			
DU	VVV	5,412,522	5/02/95	Lockhart, et al.			
DU	WWW	5,426,562	6/20/95	Morehouse, et al.			
DU	XXX	5,438,162	8/1/95	Thompson, et al.			
DU	YYY	5,444,586	8/22/95	Iftikar, et al.			

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DU	ZZZ	43 04 506	8/18/94	Germany			Abstract	
DU	AAAA	WO 93/24932	12/9/93	PCT				
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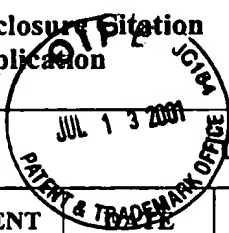
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U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
DU	FFFF	5,448,433	9/5/95	Morehouse			
DU	GGGG	5,452,159	9/19/95	Stefansky			
DU	HHHH	5,532,889	7/2/96	Stefansky, et al.			
DU	IIII	5,631,788	5/20/97	Richards			
DU	JJJJ	5,663,855	9/02/97	Kim, et al.			
DU	KKKK	5,724,216	3/3/98	Iftikar, et al.			
DU	LLLL	5,754,357	5/19/98	Anderson, et al.			
DU	MMMM	5,739,995	4/14/98	Ohmi, et al.			
DU	NNNN	5,808,830	9/15/98	Stefansky			
DU	OOOO	5,808,866	9/15/98	Porter			
DU	PPPP	5,812,373	9/22/98	Hwang			
DU	QQQQ	5,943,193	8/24/99	Thayne, et al.			
DU	RRRR	5,949,630	9/7/99	Yamamoto, et al.			
DU	SSSS	5,999,406	12/7/99	McKain, et al.			
DU	TTTT	6,021,029	2/1/00	Mamiya et al.			

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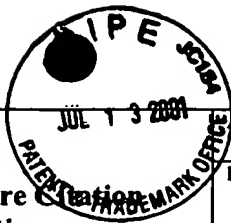
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Page 6 of 6

PTO-1449	Application No. 09/839,515	Applicant(s) Fred C. Thomas III	
	Docket Number 067470.0137 (P0766)	Group Art Unit Unknown	Filing Date 04/20/2001
Information Disclosure in an Application			

U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
✓	AAAAA	6,028,744	02/22/00	Amirkiai, et al.			
✓	BBBBB	6,082,543	7/04/2000	Béliveau			
✓	CCCCC	6,154,360	11/28/2000	Kaczeus, Sr. et al.			
✓	DDDDD	H1245	10/5/93	Griswold, et al.			
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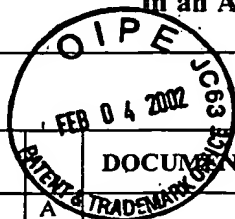
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DAL01:615003.1

#9

PTO-1449	Application No. 09/839,515		Applicant(s) Fred C. Thomas III	
	Docket Number 067470.0137 (P0766)	Group Art Unit Unknown	Filing Date 04/20/2001	
Information Disclosure Citation in an Application				



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NON-PATENT DOCUMENTS

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)
DU R	U.S. Serial No. 09/565,790, filed May 5, 2000, entitled "CRYPTOGRAPHICALLY SECURED REMOVABLE DATA STORAGE", by inventors Fred C. Thomas III et al., 26 pages of text and 9 drawing sheets. (Attorney Docket No. P0440 / IOM-5482 / IOM-5481).
DU S	U.S. Serial No. 09/783,662, filed February 13, 2001, entitled "METHOD AND SYSTEM FOR SOFTWARE AUTHENTICATION IN A COMPUTER SYSTEM", 32 pages of text and 9 drawing sheets. (Attorney Docket No. P0717 / IOM-8034).
DU T	"SDMI Portable Device Specification", Part 1, Version 1, SDMI Secure Digital Music Initiative, Los Angeles, California, July 8, 1999; pages 1-35, with 2-page Amendment 1 dated September 23, 1999.

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U.S. PATENT AND TRADEMARK OFFICE

DAL01:654047.1

PTO-1449	Application No. 09/839,515	Applicant(s) Fred C. Thomas III.	
	Docket Number 067470.0137 (P0766)	Group Art Unit Unknown	Filing Date 04/20/2001

Information Disclosure Citation
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U.S. PATENT DOCUMENTS

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NON-PATENT DOCUMENTS

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)
MM	"Guide to the SDMI Portable Device Specification" Part 1, Version 1.0. SDMI Secure Digital Music Initiative, 5 pages, date unknown.
NN	"Why Encryption on the Internet Really Works, The Magic of Public Key Encryption", Hifn, Los Gatos, California, pages i - viii and 1-31, date unknown.
OO	

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Page 1 of 1

PTO-1449

Application No.
09/839,515Applicant(s)
Fred C. Thomas IIIDocket Number
067470.0137 (P0766)Group Art Unit
2131Filing Date
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Information Disclosure Citation in an Application

U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
DU	A	5,436,857	07/25/95	Nelson, et al.			
DU	B	5,585,986	12/17/96	Parkin			
DU	C	5,631,788	05/20/97	Richards			
DU	D	5,715,169	02/03/98	Noguchi			
DU	E	5,793,207	08/11/98	Gill			
DU	F	5,809,520	09/15/98	Edwards, et al.			
DU	G	5,831,788	11/3/98	Hofland			
DU	H	6,025,973	02/15/00	Mizoshita, et al.			
DU	I	6,259,573	07/10/01	Tsuwako, et al.			
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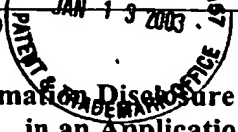
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DAL01:650172.1

PTO-1449		Application No. 09/839,515	Applicant(s) Fred C. Thomas III	
		Docket Number 067470.0137 (P0766)	Group Art Unit 2131	Filing Date 04/20/2001

**Information Disclosure Citation
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U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
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<input checked="" type="checkbox"/>	B	4,705,257	11/10/87	Leo, et al.			
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<input checked="" type="checkbox"/>	L	5,243,485	09/07/93	Weiley			
<input checked="" type="checkbox"/>	M	5,363,227	11/08/94	Ichikawa, et al.			
<input checked="" type="checkbox"/>	N	5,363,276	11/08/94	Crockett			
<input checked="" type="checkbox"/>	O	5,400,196	03/21/95	Moser, et al.			
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PTO-1449

Application No.

09/839,515

Applicant(s)

Fred C. Thomas III

Docket Number

067470.0137 (P0766)

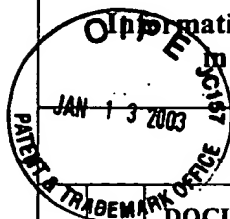
Group Art Unit

2131

Filing Date

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
W		5,463,527	10/31/95	Hager, et al.			
X		5,479,285	12/26/95	Burke			
Y		5,502,604	03/26/96	Furay			
Z		5,532,891	07/02/96	Tsujino			
AA		5,550,712	08/27/96	Crockett			
BB		5,615,070	03/25/97	Bordes			
CC		5,644,454	07/01/97	Arya, et al.			
DD		5,818,029	10/06/98	Thomson			
EE		5,837,934	11/17/98	Valavanis, et al.			
FF		5,928,347	07/27/99	Jones			
GG		5,943,208	08/24/99	Kato, et al.			
HH		5,969,901	10/19/99	Eckberg, et al.			
II		5,995,365	11/30/99	Broder, et al.			
JJ		6,128,670	10/03/2000	Hashimoto, et al.			
KK		6,144,552	11/07/2000	Whitcher, et al.			

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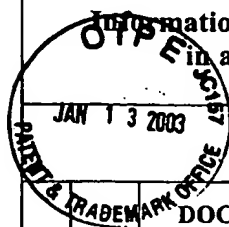
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PTO-1449	Application No. 09/839,515	Applicant(s) Fred C. Thomas III	
	Docket Number 067470.0137 (P0866)	Group Art Unit 2131	Filing Date 04/20/2001

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
DU	RR	6,166,901	12/26/2000	Gamble, et al.			
DU	SS	6,201,771	03/13/01	Otsuka, et al.			
DU	TT	6,230,074	05/08/01	Shinkai			
DU	UU	6,252,744	06/26/01	Kelemen			
DU	VV	6,266,724	07/24/01	Harari, et al.			
DU	WW	6,298,016	10/02/01	Otsuka			
DU	XX	6,301,087	10/09/01	Combe			
DU	YY	6,304,440	10/16/01	Lin			
DU	ZZ	6,320,744	11/20/01	Sullivan, et al.			
DU	AAA	6,324,054	11/27/01	Chee, et al.			
DU	BBB	6,353,870	03/05/02	Mills, et al.			
DU	CCC	6,374,315	04/16/02	Okada, et al.			
DU	DDD	6,388,591	05/14/02	Ng			
DU	EEE	US2002/0044416	04/18/02	Harmon, III et al.			
	FFF						

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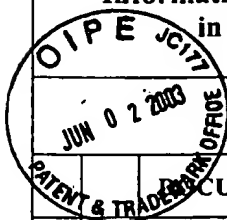
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PTO-1449	Application No. 09/839,515	Applicant(s) Fred C. Thomas III	
	Docket Number 067470.0137 (P0766)	Group Art Unit 2131	Filing Date 04/20/01

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DV	A	5,400,389	03/21/95	Niiyama, et al.			
DV	B	5,477,401	12/19/95	Squires, et al.			
DV	C	5,841,605	11/24/98	Foster, et al.			
DV	D	5,872,669	02/16/99	Morehouse, et al.			
DV	E	6,088,312	07/11/00	Utsumi			
DV	F	6,154,330	11/28/00	Nakagawa			
DV	G	6,285,092	09/04/01	Kawahara, et al.			
DV	H	6,496,979	12/17/02	Chen, et al.			
DV	I	US2002/0042152	4/11/02	Yamazaki, et al.			
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		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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DV	Q	JP2000099217	07/04/00	Japan (Abstract)			N/A	
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	Docket Number 067470.0137 (P0766)	Group Art Unit 2131	Filing Date 04/20/01

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DU	A	4,527,262	07/02/85	Manto			
DU	B	5,454,080	09/26/95	Fasig, et al.			
DU	C	5,727,170	03/10/98	Mitchell, et al.			
DU	D	5,734,860	03/31/98	Kondo			
DU	E	5,986,991	11/16/99	Kawahara, et al.			
DU	F	6,185,069	02/06/01	Schick			
DU	G	6,386,979	05/14/02	Ho, et al.			
DU	H	6,501,612	12/31/02	Kato, et al.			
DU	I	6,510,485	01/21/03	Shin			
DU	J	6,564,290	05/13/03	Lechner			
DU	K	6,566,838	05/20/03	Maiocchi			
DU	L	6,570,728	05/27/03	Iizuka, et al.			
DU	M	6,574,058	06/03/03	Aruga, et al.			
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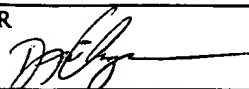
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